



KOMPLY360: REGULATORY COMPLIANCE MANAGEMENT SYSTEM

Holistic Compliance | Distributed Performance Monitoring | Unified Dashboard | AI-Driven Automation

Transforming Compliance Complexity into Seamless Oversight

Banking and financial institutions grapple with complex regulatory demands that require precise, proactive solutions. The dual challenge of ensuring compliance while enhancing operational efficiency is paramount in today's stringent regulatory climate.

Without advanced, integrated systems, institutions risk compliance gaps, operational bottlenecks, and potential penalties that can undermine both financial stability and reputation. Conventional methods often lack the agility and insight needed to adapt to changing regulations and prevent critical oversights.

Inspirisys Komply360 offers a comprehensive solution for regulatory oversight. By integrating advanced technology with robust monitoring capabilities, Komply360 not only ensures compliance but also enhances operational efficiency by extending its capabilities to internal rules and regulations across financial institutions. This system turns regulatory adherence into a quick and easy monitoring activity with features like an AI communication assistant, automatic reminders for pending tasks, and detailed performance reports for branches and offices. By monitoring compliance status in real-time, tracking progress, and prioritizing actions, it ensures that each branch or office fulfils its regulatory duties and operates with risk-free performance.

Why Choose Inspirisys?

- 30+ years of industry expertise
- CMMI Level 5 certified, ISO 9001 & ISO 27001 compliant
- Trusted by 27 leading banks in India, including 15 private banks and 12 PSUs
- Regular upgrades aligned with evolving regulatory guidelines
- 24/7 professional support, including instant spike support for RBI mandates and internal requirements
- Optimized costs and reduced complexity while ensuring high operational efficiency

Core Capabilities of Inspirisys Komply360



Extends Compliance Monitoring Across All Regulatory Bodies

Ensures comprehensive compliance by managing guidelines from multiple regulatory authorities (RBI, SEBI, IRDAI, etc.) with cross-domain adherence to evolving regulations.



Provides Mobile Access for Uninterrupted Visibility and Control

Offers a mobile-friendly dashboard for senior management to monitor compliance statuses in real time, ensuring 24/7 access to compliance data and enabling quick decision-making from anywhere.



Leverages AI for Analyzing Guidelines and Assigning Tasks

Utilizes AI to interpret regulatory guidelines, extract key compliance points, and map them to internal processes. Automatically assigns tasks to relevant departments and tracks their progress for improved accountability.



Supports Multiple Document Types with AI-Driven Insights

Accepts diverse file formats (PDF, Excel, Word) for data extraction and interpretation. AI-powered insights identify patterns, discrepancies, and non-compliance risks across documents.



Minimizes Manual Intervention Through AI Automation

Reduces human error and administrative workload by automating repetitive compliance tasks. Facilitates automated alerts, reminders, and real-time monitoring to ensure timely compliance.



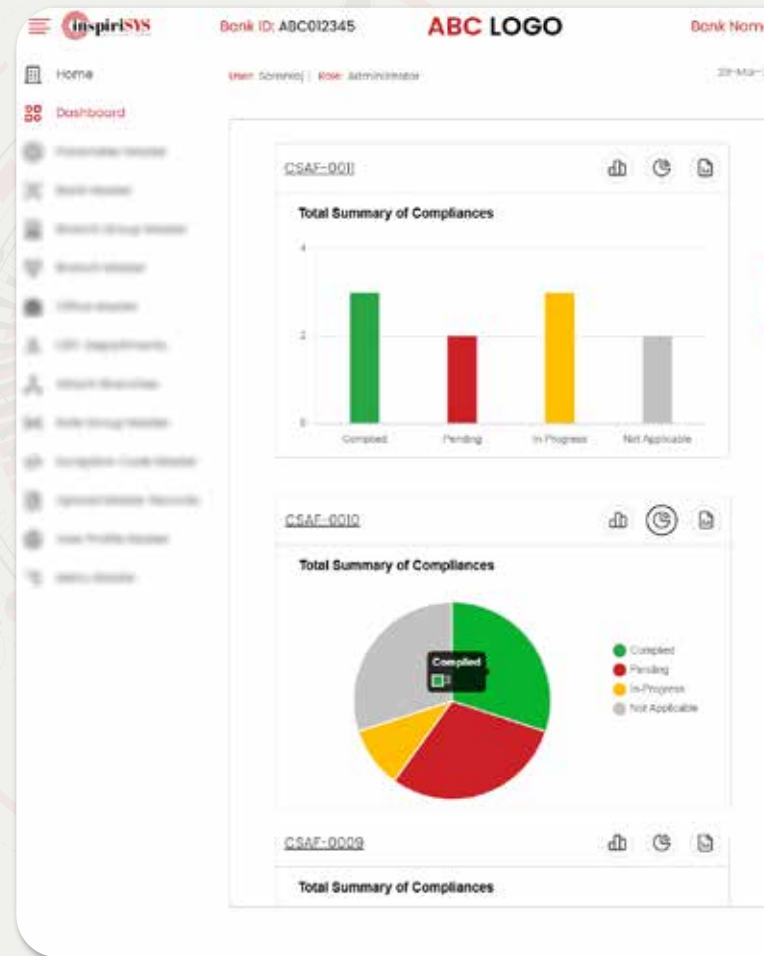
Integrates a Maker-Checker System for Action Verification

Ensures accuracy and adherence with a dual-verification system, allowing users to track and validate compliance actions before submission.



Acts as a Unified Platform for Seamless Stakeholder Communication

Enables real-time communication between compliance, audit, IT, and management teams. Supports collaboration through integrated chat, email, and call functionalities for quick issue resolution.



Offers CSAF Life Cycle Management for End-to-End Compliance Tracking

Manages the entire Compliance Status Assessment Framework (CSAF) from initiation to closure with a structured process for tracking, documenting, and resolving compliance issues to ensure timely adherence.



Provides Detailed Unit-Level Remarks for Non-Compliance Follow-Ups

Records detailed unit-level remarks for easy reference and audit trails. Enables targeted follow-ups by tracking the causes of non-compliance and corrective actions.



Ensures Secure Storage of Approvals with Drill-Down Audit Views

Systematically records all approvals and deviations for regulatory scrutiny. Provides drill-down capabilities to view detailed logs and evidence for compliance audits.

RBI Mandates	Inspirisys Komply360	Value-Added Features
Enhance Internal Compliance Leverage technology to establish a unified system that ensures comprehensive compliance visibility and control		Allows banks to act as both Regulator and Communicator, tracking internal administration and audit reports through a centralized dashboard for real-time top management oversight
Unified Dashboard A configurable, real-time dashboard with role-based compliance status and multiple filtering capabilities		Provides access to compliance status anytime, anywhere, with filters and unit-level drill-down on any device
Automation Establish a unified compliance monitoring system with analysis, tracking, and automated reporting, enabling integration with Daksh		Offers centralized implementation by the CCO with distributed monitoring or department-level implementation, ensuring unified oversight by all stakeholders through a dashboard view
Workflow Solutions Implement automated workflows for approvals, rejections, audits, and inspections		Includes a built-in workflow for approving or rejecting obligation communications and compliance responses from branches and offices
Reduce Manual Intervention Adopt AI to minimize manual work in regulatory guidelines, from identification and analysis to content assessment, obligation creation, and real-time monitoring and reporting		Minimizes manual intervention by leveraging AI for obligation creation, performance assessment, user chat, knowledge sharing, and more
Enterprise-wide Integration A comprehensive compliance framework that integrates the entire enterprise, encompassing all stakeholders—Compliance Departments, IT Departments, Branches/Offices, and Top Management		Grants access to both internal stakeholders across the enterprise and external stakeholders, including the RBI and auditors
Collaboration Integrate communication tools (chat, calls, email) along with a maker-checker Daksh bot system to enhance collaboration		Facilitates a unified platform for all stakeholders, enabling seamless communication and knowledge dissemination through Aksh-Bot
Compliance Management Manage compliance through the CSAF Life Cycle, tracking issues from initiation to resolution		Tracks the CSAF Life Cycle from initiation to closure with real-time updates, offering a unified dashboard view accessible via web portal and mobile app
Issue Escalation & Approval A built-in non-compliance status tracker with drill-down capabilities to detailed unit-level remarks, enabling targeted follow-ups and recording of approvals		Captures detailed unit-level remarks for non-compliance, enables direct follow-ups, records approvals for deviations and delays for management review, and stores data within the system

About Inspirisys

Inspirisys has been achieving excellence in empowering enterprises toward digital transformation with the help of contemporary technologies for more than 30 years. The company is part of CAC Holdings Corporation a Japanese Conglomerate with a proven track record in providing top-quality solutions and services across several industries, including BFSI, Telecom, Government/PSUs, Manufacturing and Healthcare. Inspirisys' portfolio of solutions and services includes Infrastructure Management, Enterprise Security, Cloud, Banking and Product Engineering & Development.



30 Years
in Existence



Global Presence in **3**
Countries



2000+
Employees



600+
Customers



Part of a Larger Conglomerate
CAC Holdings Corporation,
Japan



Listed in Major Stock
Exchanges in India

Our Portfolio

Banking	Product Engineering & Development	Infrastructure, Cloud and Security Solutions & Services
Core Banking Solution	Cloud Application Services	Data Center Transformation
Government Business Suite	Data Analytics – AI, ML, BI	Network Transformation
Application Development & Maintenance Services	Full Stack Development & Integration	Remote Infrastructure Management (RIM)
Business Intelligence Solutions	Automation - Robotic Process Automation & IoT	Cloud Infrastructure Solutions
Artificial Intelligence & Machine Learning	Application Development, Modernization, Maintenance and Support	NOC and SOC Services



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